

09/26/03  
17119 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

ATTY DOCKET NO.: 5470-378

DATE: September 26, 2003

17119 U.S. PTO  
10/672501

09/26/2003

UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM

MAIL STOP PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under is:

- ☒ a patent application  
☐ a Continuation ☐ a Divisional ☐ a Continuation-in-Part (CIP)  
☒ claiming priority from U.S. Provisional application no. 60/414,141; Filed September 27, 2002.  
☒ Applicants assert small entity status under 37 C.F.R. 1.27(c)

Inventors or Application Identifier:  
Ryszard Kole, Chapel Hill, North Carolina

Entitled: *Methods and Compositions for Modification of Splicing of Pre-mRNA*

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 40 pages of Specification (including 7 claims)
3. ☒ 5 sheets of Drawings (35 USC 113)
4. ☐ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☐ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 5 Below])
  - c. ☐ **DELETION OF INVENTOR(S)** (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (*Appendix*)
7. ☐ Assignment papers (*cover sheet(s) and document(s)*) Filed in parent application
8. ☒ Small Entity Status Asserted
9. ☐ Information Disclosure Statement, PTO-1449 and 1 new reference (all other references submitted in parent application)
10. ☐ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
11. ☐ English Translation Document
12. ☐ Certified Copy of
13. ☐ Sequence Listing/ Sequence Listing Diskette
  - a. ☐ computer readable copy (Concurrently via Express mail to Box Sequence, PO Box 2327, Arlington, VA 22202)

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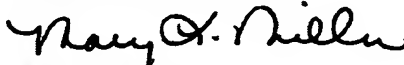
- b. ☐ paper copy  
c. ☐ statement in support Concurrently via Express mail to Box Sequence, PO Box 2327, Arlington, VA 22202)
14. ☐ An Associate Power of Attorney  
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
16. ☐ Other:

The fee has been calculated as shown below:

	Column 1 No. Filed	Column 2 No. Extra	Small Entity Rate Fee	Large Entity Rate Fee
BASIC FEE			\$375.00	\$750.00
TOTAL CLAIMS	7 - 20 =	0	x 09 = \$0.00	x 18 = \$00.00
INDEP CLAIMS	1 - 3 =	0	x 42 = \$0.00	x 84 = \$ 00.00
<input type="checkbox"/> MULTIPLE Dependent Claims Presented			+ 140 = \$0.00	+ 280 = \$0.00
			Total \$375.00	Total \$00.00

- ☒ A check in the amount of \$375.00 to cover the filing fee is enclosed.  
☐ A check in the amount of \_\_\_\_\_ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).  
☐ Please charge my Deposit Account No. 50-0220 in the amount of \$0.00.  
☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:  
a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.  
b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mary L. Miller  
Registration No. 39,303

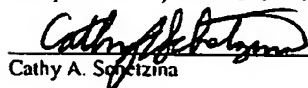
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**CERTIFICATE OF EXPRESS MAILING**

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Date of Deposit: September 26, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Cathy A. Smetizina